Fear and Reward Processing in PTSD: Diagnostic and Treatment Implications

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Funding

NIMH

NIH/NHLBI

David Lynch Foundation, Bob Woodruff Foundation, Stand for the Troops, Mack Foundation, Robin Hood Foundation, NARSAD Columbia World Projects Cambridge University Press Springer Books Advisory Boards: Akesa Health Inc.; My Record Ltd.





Outline

<u>Part 1</u>: What can be learned from epidemiological studies on the neurobiology of PTSD?

<u>Part 2</u>: What are the neural markers of PTSD, and can they be targeted in treatment?

Part 3: Reflections on PTSD diagnosis and research





Part 1: What Can We Learn from Epidemiological Studies on the Neurobiology of PTSD?







Trauma is Global





World Health Organization (Benjet et al., 2016)



The Impact of Trauma: Not Limited to PTSD



Consequences of exposure to trauma commonly include:

- Depression (e.g., Galea et al., 2002)
- Generalized Anxiety Disorder (e.g., Neria et al., 2006)
- Physical Illness
- Bipolar Illness (e.g., Neria et al., 2008)

Neria, Gross, Marshall, & Susser (Eds.), <u>9/11: Mental Health in the Wake of Terrorist Attacks</u>. Cambridge University Press. 2006 Neria, Galea & Norris (Eds.), <u>Mental Health of Disasters</u>. Cambridge University Press. 2009





Why Focus on PTSD?

- Signature outcome of trauma exposure
- Prevalence rates are high (lifetime 7-35%, depending on the trauma type)
- Massive economic burden (\$232 billion in 2018)
- Diagnostic challenges are yet to be (systematically) addressed
- Treatment efficacy is moderate at best
- Evidence for brain plasticity can be traced after exposure to trauma, in recovery, or in persistence of symptomatology





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PTSD Among New York City Residents One, Three, and Six Months After 9/11 Attacks



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Galea et al., AJE, 2003

Trajectories of PTSD Symptoms Among Children Who Survived the Lushan Earthquake: A Four-Year Longitudinal Study



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Part 1: Conclusions and Questions

- Trauma is common and most people demonstrate resilience, even in the most severe circumstances
- Many PTSD cases recover over time (demonstrating brain plasticity)
- Significant minority will develop chronic, unremitted PTSD symptomatology

Key questions:

- What are the neural markers of yet-to-be remitted PTSD?
- Can neural deficiencies be addressed in treatment?





Part 2: What Are the Neural Markers of Unremitted PTSD?

- PTSD is conceptualized as a "fear disorder"
- Illness course is developed <u>after</u> exposure to a terrifying event
- Multiple fear-related symptoms are specifically linked to the trauma:
 - Re-experiencing (flashbacks, nightmares, frightening thoughts)
 - Avoidance (staying away from places, events, or objects that are reminders of the trauma)
 - Arousal and reactivity (easily startled, tense or "on edge," difficulty sleeping, angry outbursts)
- Goal: studying the underlying circuits of fear processing





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Question 1: Which Capacity is Deficient in PTSD?

1. Fear learning (or fear conditioning): Association between aversive unconditioned stimulus (US; e.g., electrical shock) and a neutral context (e.g., a room) or neutral stimulus (e.g., light) is formed, resulting in the expression of fear responses to the originally neutral stimulus or context

2. Extinction learning: gradual decrements of conditioned fear responses in the lack of exposure to the original aversive stimulus

3. Extinction recall: retrieval and expression of the learned extinction memory after a delay





Question 2: Should We Expect Sex Differences in Fear Processing?

- Many epidemiological studies (though not all of them) show that women are more likely to develop
 PTSD following exposure to trauma
- Should we expect women to differ from men on fear processing?





Brief Methods

- Comparing patients with PTSD to trauma-exposed healthy controls
- Utilizing fear learning/extinction task in the scanner
- Multimodal assessments:
 - fMRI
 - Skin Conductance Response (SCR)
 - Clinical assessments





Experimental Fear Learning/Extinction fMRI Task

Day 1

Conditioning



Shock



8 CS+NE

Shock



16 CS-

Extinction



16 CS+E







Cogumbia 50

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Recall

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8 CS+E

8 CS+NE Milad et al., Biol Psychiatry 2009

Day 2



Fear Conditioning



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Shvil et al., Neurobiology of Learning and Memory, 2014 **COLUMBIA UNIVERSITY** DEPARTMENT OF PSYCHIATRY



Extinction Recall



Erel Shvil



NEW YORK STATE OF OPPORTUNITY. Psychiatric Institute Shvil et al., Neurobiology of Learning and Memory 2014



Anterior Cingulate Cortex (ACC)



- Crucially involved in normal and abnormal fear processing
- Connection to both the "emotional" limbic system and the "cognitive" prefrontal cortex
- Modulating amygdaladependent fear processing





Extinction Recall in PTSD





L-vmPFC



R-vmPFC

0.6

0.4

0.2

0.0

-0.2

-0.4



Hippocampus



Dorsal ACC

PTSD

TENC



Mohammed Milad







- 1. Hypoactive hippocampus
- 2. Hypoactive vmPFC
- 3. Hyperactive dACC

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Milad et al, Biological Psychiatry, 2009



Main Conclusions, So Far

- **Clinically,** patients with PTSD are reminded of the trauma while awake and asleep in multiple ways
- Neurobiologically, PTSD patients (particularly men) show aberrant capacity to recall extinction of fear memories, coupled with poor top-down neural control
- **Taken together**: PTSD patients manifest little to no capacity to forget the trauma
- **Question**: should we see PTSD as a "memory disorder"?





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Question 3: Can We Normalize Deficient Fear Processing in Patients with PTSD?

- To test this, we chose to study the effects of Prolonged Exposure treatment on the brain of patients with PTSD
 - Prolonged Exposure (PE), a first-line treatment for PTSD, was guided by learning theory
 - PE Approach: repeated imaginal and in vivo exposures to the trauma memories, aiming to facilitate extinction by processing the memories in safe conditions (in the absence of the threat)





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Question 3: Can We Normalize Deficient Fear Processing in Patients with PTSD (Cont.)?

- 1. Can PE treatment:
- Reverse extinction recall impairments?
- Alter functional connectivity of key fear processing pathways?
- 2. Does hippocampus volume have a role in PE treatment outcome?

Study design: Two scans, before and after PE. Comparison to trauma exposed healthy controls





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Fear Extinction fMRI Task

Day 1

Conditioning





Shock



16 CS-

Extinction





8 CS+NE

Recall



Milad et al., Biol Psychiatry 2009

VS.

16 CS-



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Normalizing Extinction Recall?



Normalizing Extinction Recall?



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Liat Helpman

Helpman et al., Neuroimage, 2016

Exposure-Based Therapy Changes Amygdala and Hippocampus Resting-State Functional Connectivity in Patients with PTSD

Pre-post rsFC increases in:

- **BLA-orbitofrontal cortex** (OFC)
- **BLA-THA**
- CMA-OFC
- Hippocampus-mPFC

Increase in CMA-OFC connectivity was associated with reduction in PTSD



Xi Zhu



Zhu et al., Depression and Anxiety, 2018

Part 2: Summary

- Multimodal brain imaging can assist in identification and targeting of fear-related markers
- Aberrant fear circuitry is a major mechanism underlying chronic unremitted PTSD
- Dysfunctional fear processing may interfere with recovery
- PE proved efficacious in engaging fear circuitry:
 - Extinction deficits were partially reversed
 - Changes in ACC function were demonstrated
 - Amygdala and hippocampal rsFC pathways were altered





Part 3: Reflections on PTSD Diagnosis and Research







Common Problems in the Field

- Difficulty in replicating fMRI findings
- Small to moderate effect sizes in RCTs
- Prolonged Exposure (gold standard treatment): nonresponse rates range from 25% to 60%, with dropout rates reaching 50%
- Few medications found to ameliorate PTSD, with small effect sizes

Questions:

Do we have a problem with fMRI tasks? Are PTSD treatments inherently weak? Or do we have a problem with the diagnosis of PTSD?





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The Problem with PTSD

FEBRUARY 2021 | VOLUME 178 | NUMBER 2

The American Journal of **Psychiatry**



Large-Scale Functional Brain Network Architecture Changes Associated With Trauma-Related Dissociation

> The Overlapping Neurobiology of Induced and Pathological Anxiety

Maternal Psychological Resilience During Pregnancy and Newborn Telomere Length

A Randomized Controlled Trial of Repeated Ketamine Administration for Chronic Posttraumatic Stress Disorder



- PTSD diagnosis is a broad constellation of physical, affective, behavioral, and cognitive symptoms
- PTSD overlaps with MDD, GAD, and more
- Galatzer-Levy and Bryant (2013) identified 636,120 ways to meet criteria for DSM-5 PTSD

Consequences:

- 1. PTSD is (too) heterogeneous, potentially including multiple phenotypes
- 2. Different PTSD studies=different combinations of patient populations



Neria, Y. American Journal of Psychiatry, 2021



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The Problem with PTSD

The American Journal of svchiatrv Large-Scale Functional Brain Network Architecture Changes Associated With Trauma-Related Dissociation The Overlapping Neurobiology of Induced and Pathological Anxiety and Newborn Telomere Length A Randomized Controlled Trial of Repeated Ketamine Administration for Chronic Posttraumatic Stress Disorde aip.psychiatryonline.org

 Lack of clear biological margins between PTSD, MDD, and other disorders result in a lack of diagnostic specificity

Urgent need to:

- improve diagnostic specificity
- identify clinically meaningful neurobiological markers of diagnosis, which can serve as objective measurable targets for treatments

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Neria, Y. American Journal of Psychiatry, 2021

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The Case of Comorbid PTSD-MDD

- ~50% of PTSD cases exhibit comorbid MDD
- PTSD-MDD vs. PTSD alone:
 - Greater severity and worse clinical outcomes
 - Greater distress, higher suicide risk, and poorer treatment response
 - Difficulties engaging in treatments (anhedonia; emotion dysregulation), particularly in PE





Altered rsFC of Fear and Reward Circuitry in PTSD-MDD

RESEARCH ARTICLE

Altered resting state functional connectivity of fear and reward circuitry in comorbid PTSD and major depression

Xi Zhu Ph.D., Liat Helpman Ph.D., Santiago Papini M.A., Franklin Schneier M.D., John C. Markowitz M.D., Page E. Van Meter Ph.D., Martin A. Lindquist Ph.D., Tor D. Wager Ph.D., Yuval Neria Ph.D. ⊠ Volume 34, Issue 7 July 2017 Pages 641–650



Xi Zhu





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Can PE Address Reward Processing Deficits in PTSD-MDD?

- Prolonged Exposure treatment was designed to address fear processing dysfunction
- How effective is it in addressing both fear and reward processing dysfunction in patients with PTSD-MDD?





PE for Patients with PTSD-MDD







Part 3: Conclusions

- The definition of DSM diagnosis of PTSD is (too) heterogeneous, harming efforts to address treatment needs, and improve efficacy of treatments
- PTSD-MDD only partially overlap with PTSD alone: is it a unique diagnostic phenotype?
- Fear circuitry, but not reward circuitry, can be engaged with Prolonged Exposure (PE)
- Better targeted treatments are needed to address both fear and reward dysfunctions in PTSD
- Encouraging results from our IPT-PTSD (with John Markowitz); Equine Assisted Treatment (EAT-PTSD; with Prudence Fisher)
- Psychedelics (psilocybin; MDMA) and Ketamine studies have a promising start
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 Description

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Thanks

- <u>Lab members</u>: Xi Zhu, Liat Helpman, Benjamin Suarez-Jimenez, Amit Lazarov, Maja Bergman, Doron Amsalem, Matt Ryba, Erel Shvil, Ari Lowell, Santiago Papini, Chana Fisch, Sigal Zilcha-Mano, Elizabeth Eder-Moreau, Yoojean Kim, Shay Arnon, Gregory Sullivan, Ronit Kishon, Emily Joyner, Mikael Rubin, Heather Rusch, Miriam Campeas, Maren Westphal, Margarita Bravova, Sara Such, and Aliza Naiman
- <u>Collaborators at Columbia</u>: Randall Marshall, John Markowitz, Franklin Schneier, Roberto Lewis-Fernández, Blair Simpson, Prudence Fisher, Myrna Weissman, Mark Olfson, Lisa Dixon, Milton Wainberg, Bruce Dohrenwend, Ezra Susser, Raz Gross, Yair Bar Haim, Danny Pine, Zahava Solomon, Scott Small, Christine Denny, Melissa Hinds, Melanie Wall, Thomas Smith, John Mann, Bret Rutherford, Arturo Sánchez-Lacay, Rafael Campeas, Page Van Meter, Carlos Blanco, Richard Neugebauer, Blake Turner, Priya Wickramarante, Marc Gameroff, Daniel Pilowsky, Rafael Lantigua, Steven Shea, Ian Kronish, and Donald Edmondson



